

1642/17

PTO/SB/21 (08-00)

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|  |                      |                     |              |                       |
|--|----------------------|---------------------|--------------|-----------------------|
| <div style="border: 2px solid black; border-radius: 50%; width: 100px; height: 100px; margin: 0 auto; display: flex; align-items: center; justify-content: center;"><div style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold;">PATENT &amp; TRADEMARK OFFICE</div><div style="text-align: center;">SEP 23 2002</div></div> <div style="font-size: 2em; font-weight: bold; margin-top: 10px;">TRANSMITTAL FORM</div> | Application Number   | 09/881,636          | RECEIVED     |                       |
|  | Filing Date          | 13 June 2001        |              |                       |
|  | First Named Inventor | Mary FARIS, et al.  | SEP 27 2002  |                       |
|  | Group Art Unit       | 1642                |              |                       |
|  | Examiner Name        | M. Yu, Ph.D.        |              |                       |
| Total Number Of Pages In This Submission   | 13                   | Attorney Docket No. | 511582001200 | TECH CENTER 1600/2900 |

| ENCLOSURES (check all that apply)   |  |  |
|---|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><br><input type="checkbox"/> Fee Attached<br><br><input checked="" type="checkbox"/> Amendment / Reply<br><br><input type="checkbox"/> After Final<br><br><input type="checkbox"/> Affidavits/declarations<br><br><input checked="" type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input type="checkbox"/> Information Disclosure Statement, PTO Form 1449, References<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><br><input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers<br><br><input type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><br><input type="checkbox"/> Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to Group ( <i>Appeal Notice, Brief, Reply Brief</i> )<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> Other Enclosure(s) ( <i>please identify below</i> ):<br><div style="margin-left: 20px;"><input checked="" type="checkbox"/> Return Postcard<br/><br/><input type="checkbox"/><br/><br/><input type="checkbox"/><br/><br/><input type="checkbox"/></div> |
| <div style="border: 1px solid black; width: 100%; height: 80px;"></div>   |  | <div style="border: 1px solid black; width: 100%; height: 80px;"></div>  |

| SIGNATURE OF APPLICANT, ATTORNEY OR AGENT |   |
|---|---|
| Firm or Individual Name                   | David L. Devenoe Reg. No. 50,128<br>Morrison & Foerster LLP<br>3811 Valley Centre Drive, Suite 500, San Diego, California 92130 |
| Signature                                 |   |
| Date                                      | September 18, 2002  |

|  |
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| <b>CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"</b>  |
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on September 18, 2002.<br><div style="text-align: center; margin-top: 20px;"><br/>Tami M. Procopio</div> |

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**FEE TRANSMITTAL  
FOR FY 2002**

Patent fees are subject to annual revision.

**Complete if Known**

Application Number 09/881,636  
 Filing Date 13 June 2001  
 First Named Inventor Mary FARIS, et al.  
 Examiner Name M. Yu, Ph.D.  
 Group Art Unit 1642

**RECEIVED**

SEP 27 2002

TECH CENTER 1600/2900

**TOTAL AMOUNT OF PAYMENT**(\$)**200.00**

Attorney Docket No. 511582001200

**METHOD OF PAYMENT**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

03-1952

Deposit  
Account  
Name

Morrison &amp; Foerster LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description        | Fee<br>Paid |
|----------------------|-----------------------|----------------------|-----------------------|------------------------|-------------|
| 101                  | 740                   | 201                  | 370                   | Utility filing fee     |             |
| 106                  | 330                   | 206                  | 165                   | Design filing fee      |             |
| 107                  | 510                   | 207                  | 255                   | Plant filing fee       |             |
| 108                  | 740                   | 208                  | 370                   | Reissue filing fee     |             |
| 114                  | 160                   | 214                  | 80                    | Provisional filing fee |             |

SUBTOTAL (1) (\$)**0****2. EXTRA CLAIM FEES**

| Total Claims                  | Extra<br>Claims | Fee from<br>below | Fee Paid |
|-------------------------------|-----------------|-------------------|----------|
| - 20** =                      | *               | x                 | = \$     |
| Independent<br>Claims - 3** = | *               | x                 | = \$     |
| Multiple Dependent            |                 |                   | = \$     |

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description   | Fee<br>Paid |
|----------------------|-----------------------|----------------------|-----------------------|---|-------------|
| 103                  | 18                    | 203                  | 9                     | Claims in excess of 20                                    |             |
| 102                  | 84                    | 202                  | 42                    | Independent claims in excess of 3                         |             |
| 104                  | 280                   | 204                  | 140                   | Multiple dependent claims, if not paid                    |             |
| 109                  | 84                    | 209                  | 42                    | **Reissue independent claims over original patent         |             |
| 110                  | 18                    | 210                  | 9                     | **Reissue claims in excess of 20 and over original patent |             |

SUBTOTAL (2) (\$)**0**

\*\* or number previously paid, if greater; For reissues, see above.

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description  | Fee Paid |
|----------------------|-----------------------|----------------------|-----------------------|--|----------|
| 105                  | 130                   | 205                  | 65                    | Surcharge - late filing fee or oath  |          |
| 127                  | 50                    | 227                  | 25                    | Surcharge - late provisional filing fee or cover sheet                       |          |
| 139                  | 130                   | 139                  | 130                   | Non-English specification  |          |
| 147                  | 2,520                 | 147                  | 2,520                 | For filing a request for <i>ex parte</i> reexamination                       |          |
| 112                  | 920*                  | 112                  | 920*                  | Requesting publication of SIR prior to Examiner action                       |          |
| 113                  | 1,840*                | 113                  | 1,840*                | Requesting publication of SIR after Examiner action                          |          |
| 115                  | 110                   | 215                  | 55                    | Extension for reply within first month                                       |          |
| 116                  | 400                   | 216                  | 200                   | Extension for reply within second month                                      | 200      |
| 117                  | 920                   | 217                  | 460                   | Extension for reply within third month                                       |          |
| 118                  | 1,440                 | 218                  | 720                   | Extension for reply within fourth month                                      |          |
| 128                  | 1,960                 | 228                  | 980                   | Extension for reply within fifth month                                       |          |
| 119                  | 320                   | 219                  | 160                   | Notice of Appeal   |          |
| 120                  | 320                   | 220                  | 160                   | Filing a brief in support of an appeal                                       |          |
| 121                  | 280                   | 221                  | 140                   | Request for oral hearing   |          |
| 138                  | 1,510                 | 138                  | 1,510                 | Petition to institute a public use proceeding                                |          |
| 140                  | 110                   | 240                  | 55                    | Petition to revive - unavoidable   |          |
| 141                  | 1,280                 | 241                  | 640                   | Petition to revive - unintentional   |          |
| 142                  | 1,280                 | 242                  | 640                   | Utility issue fee (or reissue)   |          |
| 143                  | 460                   | 243                  | 230                   | Design issue fee   |          |
| 144                  | 620                   | 244                  | 310                   | Plant issue fee  |          |
| 122                  | 130                   | 122                  | 130                   | Petitions of the Commissioner  |          |
| 123                  | 50                    | 123                  | 50                    | Petitions related to provisional applications                                |          |
| 126                  | 180                   | 126                  | 180                   | Submission of Information Disclosure Stmt                                    |          |
| 581                  | 40                    | 581                  | 40                    | Recording each patent assignment per properties (times number of properties) |          |
| 146                  | 740                   | 246                  | 370                   | Filing a submission after final rejection (37 CFR § 1.129(a))                |          |
| 149                  | 740                   | 249                  | 370                   | For each additional invention to be examined (37 CFR § 1.129(b))             |          |
| 179                  | 740                   | 279                  | 370                   | Request for Continued Examination (RCE)                                      |          |
| 169                  | 900                   | 169                  | 900                   | Request for expedited examination of a design application                    |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**200.00****SUBMITTED BY**

Complete (if applicable)

Name (Print/Type)

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(Attorney/Agent)

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Signature

Date

September 18, 2002

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